

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

IN RE SMITH BARNEY TRANSFER AGENT  
LITIGATION

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
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No. 05 Civ.7583 (WHP)

**[PROPOSED] ORDER FOR DISBURSEMENT OF FUNDS RELATED TO  
NOTICE AND ADMINISTRATION OF SETTLEMENT**

WHEREAS, as provided in paragraphs Paragraphs 1(q) and 20 of the Amended Stipulation of Settlement, dated, August 8, 2013, as further amended by letter agreement on September 24, 2013 (collectively the “Stipulation”) and Paragraph 19 of the Order Preliminarily Approving Settlement and Providing for Notice, dated October , 2013 (“Preliminary Approval Order”), the Settlement Fund is authorized, subject to Court approval, to pay Notice and Administration Costs (as defined in ¶ 1(q) of the Stipulation) prior to final approval of the Stipulation and entry of Final Judgment; and

WHEREAS, the Plaintiffs have made an application for reimbursement in the amount of \$134,232.56 and provided documentation supporting an application for release of the requested funds; and

WHEREAS, the Defendant does not oppose this application.

IT IS HEREBY ORDERED THAT:

From the Settlement Fund on deposit with the Court Registry Investment System, the Clerk of the Court shall issue a check payable to RG/2 Claims Administration LLC ,

and send it by U.S. mail to the attention of Mr. Mike Lee, RG/2 Claims Administration LLC, PO Box 59479, Philadelphia, PA 19102-9479 in the amount of \$ 134,232.56;  
and

IT IS FURTHER ORDERED, that this award is without prejudice to further applications for reimbursement of Notice and Administration expenses, subject to Court approval, prior to final approval of the Stipulation and entry of Final Judgment.

Dated: 5/6/14

  
WILLIAM A PAULEY III  
UNITED STATES DISTRICT JUDGE